Enter participant or program name

Enter primary contact name

Enter mailing address

Enter email address

Enter telephone number

Crediting period: Enter crediting period start date (mm/dd/yyyy) - Enter crediting period end date (mm/dd/yyyy)

Enter report date (Month dd, yyyy)

The TREES Registration Document and attachments provide a full description of how the Participant meets and plans to meet the requirements of TREES. Please complete this form and submit it through your ART Registry account. Attachments may also be uploaded through the ART Registry account. Instructions for completing the template are included in *italics* and may be deleted prior to submitting the completed document. Grey fields are included where Participants are required to complete information. The grey cells may also be deleted if needed to facilitate inclusion of information.

#### Participant Information

|  |  |
| --- | --- |
| **Entity** | |
| Country | Jurisdiction (if registering as a sub-national Participant) |
|  |  |

|  |  |
| --- | --- |
| **representative Organization and contact information** | |
| Organization name | Mailing address |
|  |  |
| First name | Last name |
|  |  |
| Email address | Telephone |
|  |  |
| Description of legal authority to represent country or jusrisdiction | |
|  | |

#### Program Partners

*Provide a list of any other organizations and individuals who have or will assist in preparing the TREES documentation, including additional government agencies, non-governmental organizations (NGOs), and/or additional technical consultants. Please include a brief description of their role.*

|  |
| --- |
|  |

#### Reference Period and crediting PerioD

*Please list the dates for the 5-year historical reference period and the crediting period.*

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Reference Period | | | | Crediting Period | | | |
| Start |  | End |  | Start |  | End |  |
|  | mm/dd/yyyy |  | mm/dd/yyyy |  | mm/dd/yyyy |  | mm/dd/yyyy |

#### Accounting area

*Identify whether the proposed accounting area is national or subnational. If a subnational accounting area will be used, list which jurisdiction or jurisdictions are included. Also, identify the total hectares of forest and the percentage of national forest covered by the accounting area. A georeferenced geographic information system (GIS) shape file of the accounting area (subnational or national) boundaries must be submitted along with this document.*

|  |
| --- |
|  |

#### Eligibility criteria

*For each eligibility criterion below, please describe how the REDD+ program meets the criterion.*

1. The TREES Participant is a national government or subnational government no more than one level down from national level

|  |
| --- |
|  |

1. If a subnational accounting area is proposed by a national government or by a subnational government:

* The boundary of the subnational accounting area corresponds with the entire area of one or several administrative jurisdictions no more than one level down from national level; AND
* The accounting area is comprised of a total forest area of at least 2.5 million hectares

|  |
| --- |
|  |

1. If the Participant is a subnational government, the Participant has or will have a letter from the national government authorizing the Participant’s application to and participation in ART.

|  |
| --- |
|  |

1. The Participant or the Participant’s country has included forests in their NDCs.

|  |
| --- |
|  |

1. The Participant or Participant’s country has a system for providing information on safeguards.

|  |
| --- |
|  |

#### Ownership rights to emission reductions to be issued by ART

*Provide a brief summary of the Participant’s rights to the ER’s generated from the accounting area or a description of how rights will be obtained in accordance with domestic law including where agreements have been made providing such rights. This may include regulatory frameworks, laws or administrative orders, and agreements for the transfer of ER rights or other arrangements that exist with landowners or project owners*.

|  |
| --- |
|  |

#### Safeguards

|  |
| --- |
| **CANCUN SAFEGUARD A** |
| **THEME A.1** Consistency with the objectives of national forest programs |
| **Structural Indicator:** Domestic legal framework for REDD+ actions is clearly defined and designed in consistency with national and if applicable, subnational, forest policies/programs.  Describe how this indicator is met. |
|  |
| **Process Indicator:** Public institutions have made use of, mandates, procedures and resources to ensure REDD+ actions are integrated into the broader policy framework of the forest sector, and inconsistencies identified and resolved.  Describe how this indicator is met. |
|  |
| **Outcome Indicator:** Implementation of REDD+ actions has been consistent with or complemented the objectives of the national and if applicable, subnational, forest policies/programs.  Describe how this indicator is met. |
|  |
| **THEME A.2** Consistency with the objectives of relevant international conventions and agreements |
| **Structural Indicator:** Domestic and if applicable, subnational, legal framework for REDD+ actions recognize and promote the application of ratified relevant international conventions and agreements in the context of implementation of REDD+ actions.  Describe how this indicator is met. |
|  |
| **Process Indicator:** Public institutions have made use of mandates, procedures, and resources to ensure REDD+ actions integrate specific measures that recognize and promote the application of ratified relevant international conventions and agreements.  Describe how this indicator is met. |
|  |
| **Outcome Indicator:** Implementation of REDD+ actions has been consistent or has complemented the objectives of identified, ratified and relevant international conventions and agreements.  Describe how this indicator is met. |
|  |

|  |
| --- |
| **CANCUN SAFEGUARD b** |
| **THEME B.1** Respect, protect, and fulfill the right of access to information |
| **Structural Indicator:** Participants have in place procedures for accessing information in accordance with international human rights standards, and these are anchored in relevant ratified international conventions/agreements and/or domestic and if applicable, subnational, legal framework.  Describe how this indicator is met. |
|  |
| **Process Indicator:** Public institutions have made use of mandates, procedures, and resources to implement relevant ratified international conventions and agreements and/or domestic and if applicable, subnational, legal framework, policies, and programs for accessing information.  Describe how this indicator is met. |
|  |
| **Outcome Indicator:** Public has been aware of and exercised the right to seek and receive official information on the implementation of REDD+ actions, as well as the addressing and respecting of safeguards throughout that implementation.  Describe how this indicator is met. |
|  |
| **THEME B.2** Promote transparency and prevention of corruption, including the promotion of anti-corruption measures |
| **Structural Indicator:** Participants have in place anti-corruption measures reflecting the principles of rule of law, proper management of public affairs and public property, integrity, transparency, and accountability, and these are anchored in relevant ratified international conventions/agreements and/or domestic and if applicable, subnational, legal framework.  Describe how this indicator is met. |
|  |
| **Process Indicator:** Public institutions have made use of mandates, procedures, and resources to implement relevant ratified international conventions, agreements, and/or domestic and if applicable, subnational, legal frameworks that includes anti-corruption measures reflecting principles of the rule of law, proper management of public affairs and public property, integrity, transparency, and accountability.  Describe how this indicator is met. |
|  |
| **Outcome Indicator:** The distribution of REDD+ benefits related to the implementation of the REDD+ results-based actions have been carried out in a fair, transparent, and accountable manner, as per relevant ratified international conventions, agreements, and/or domestic and if applicable, subnational, legal framework.  Describe how this indicator is met. |
|  |
| **THEME B.3** Respect, protect, and fulfill land tenure rights |
| **Structural Indicator:** Participants have in place procedures for the recognition, inventorying, mapping, and security of customary and statutory land and resource tenure rights where REDD+ actions are implemented, and these are anchored in relevant ratified international conventions/agreements and/or domestic and if applicable, subnational, legal framework.  Describe how this indicator is met. |
|  |
| **Process Indicator:** Public institutions have made use of mandates, procedures, and resources to implement relevant ratified international conventions, agreements, and/or domestic and if applicable, subnational, legal framework that includes an effective process to recognize, inventory, map, and secure (statutory and customary) rights to lands and resources relevant to the implementation of REDD+ actions.  Describe how this indicator is met. |
|  |
| **Outcome Indicator:** In conformity with relevant ratified international conventions, agreements, and/or domestic and if applicable, subnational, legal framework stakeholders had access to, use of, and control over land and resources; and no involuntary relocation took place without the free, prior, and informed consent (FPIC) of any indigenous peoples and local communities (or equivalent) concerned.  Describe how this indicator is met. |
|  |
| **THEME B.4** Respect, protect, and fulfill access to justice |
| **Structural Indicator:** Participants have in place procedures for guaranteeing non-discriminatory and non-cost prohibitive access to dispute resolution mechanisms at all relevant levels, and these are anchored in relevant ratified international conventions/agreements and/or domestic and if applicable, subnational, legal framework.  Describe how this indicator is met. |
|  |
| **Process Indicator:** Public institutions have made use of mandates, procedures, and resources to implement relevant ratified international conventions, agreements, and/or domestic legal framework that includes judicial and/or administrative procedures for legal redress, which, inter alia, provide access for indigenous peoples, local communities, or equivalent stakeholders with a recognized legal interest.  Describe how this indicator is met. |
|  |
| **Outcome Indicator:** Resolved disputes, competing claims, and effective recourse and remedies have been provided when there was a violation of rights.  Describe how this indicator is met. |
|  |

|  |
| --- |
| **CANCUN SAFEGUARD c** |
| **THEME c.1** Identify indigenous peoples and local communities, or equivalent |
| **Structural Indicator:** Participants have in place procedures that require the identification of the distinct identity of indigenous peoples, and local communities, or equivalent, and their respective rights, and these are anchored in relevant ratified international conventions/agreements and/or domestic and if applicable, subnational, legal framework.  Describe how this indicator is met. |
|  |
| **Process Indicator:** Public institutions have made use of mandates, procedures, and resources to implement relevant ratified international conventions, agreements, and/or domestic and if applicable, subnational, legal framework that identify indigenous peoples and/or local communities, or equivalent, and their respective rights.  Describe how this indicator is met. |
|  |
| **Outcome Indicator:** Indigenous peoples and local communities, or equivalent, have been identified and their respective rights and interests have been recognised in the design of REDD+ actions.  Describe how this indicator is met. |
|  |
| **THEME C.2** Respect and protect traditional knowledge |
| **Structural Indicator:** Relevant ratified international conventions/agreements, and/or domestic and if applicable, subnational, legal framework define, respect, and protect indigenous people’s knowledge and/or local communities’ knowledge.  Describe how this indicator is met. |
|  |
| **Process Indicator:** Public institutions have made use of mandates, procedures, and resources to implement relevant ratified international conventions, agreements, and/or domestic and if applicable, subnational, legal framework that aim to protect the rights of indigenous peoples and/or local communities over their respective traditional knowledge, innovations, and practices.  Describe how this indicator is met. |
|  |
| **Outcome Indicator:** Traditional knowledge of indigenous peoples and/or local communities, or equivalent, has been identified and incorporated in the design and implementation of REDD+ actions.  Describe how this indicator is met. |
|  |
| **THEME C.3** Respect, protect, and fulfill rights of indigenous peoples and/or local communities, or equivalent |
| **Structural Indicator:** Participants have in place processes that recognize, respect, and protect human rights and fundamental freedoms for its citizens, recognizing the distinct identity and rights of indigenous peoples and/or local communities, or equivalent, in conformity with customary law, institutions, and practices as applicable and these are anchored in relevant ratified international conventions/agreements and/or domestic and if applicable, subnational, legal framework.  Describe how this indicator is met. |
|  |
| **Process Indicator:** Public institutions have made use of mandates, procedures, and resources to implement relevant ratified international conventions, agreements, and/or domestic and if applicable, subnational, legal framework to protect and fulfill the rights of indigenous peoples local communities, or equivalent and guarantee respect for their integrity throughout the implementation of the REDD+ actions.  Describe how this indicator is met. |
|  |
| **Outcome Indicator:** Human rights and fundamental freedoms of indigenous peoples and local communities, or equivalent, have been identified and incorporated in the design and implementation of REDD+ results-based actions.  Describe how this indicator is met. |
|  |

|  |
| --- |
| **CANCUN SAFEGUARD D** |
| THEME D.1 Respect, protect, and fulfill the right of all relevant stakeholders to participate fully and effectively in the design and implementation of REDD+ actions |
| **Structural Indicator:** Participants have in place procedures that recognize, respect, and protect the right of all relevant stakeholders to participate fully and effectively, including timely access and culturally appropriate information prior to consultations, and these are anchored in relevant ratified international conventions/agreements and/or domestic and if applicable, subnational, legal framework; access is established to recourse mechanisms to ensure the participation process is respected.  Describe how this indicator is met. |
|  |
| **Process Indicator:** Public institutions have made use of mandates, procedures, and resources to implement relevant ratified international conventions, agreements, and/or domestic and if applicable, subnational, legal framework to enable and ensure full, effective and timely participation in the design and implementation of REDD+ actions.  Describe how this indicator is met. |
|  |
| **Outcome Indicator:** Relevant stakeholders have participated fully and effectively in the design and implementation of REDD+ actions.  Describe how this indicator is met. |
|  |
| **THEME D.2** Promote adequate participatory procedures for the meaningful participation of indigenous peoples and local communities, or equivalent |
| **Structural Indicator:** Relevant ratified international conventions, agreements, and/or domestic legal framework recognizes, respects, and protects the respective rights to participation of indigenous peoples, local communities, or equivalent, through their respective decision-making structures and processes,**[[1]](#footnote-1)** which requires appropriate procedures take place in a climate of mutual trust.  Describe how this indicator is met. |
|  |
| **Process Indicator:** Public institutions have made use of mandates, procedures, and resources to implement relevant ratified international conventions, agreements, and/or domestic and if applicable, subnational, legal framework to ensure, where relevant, the participation of indigenous peoples and/or local communities, or equivalent according their respective rights and decision-making structures and procedures.  Describe how this indicator is met. |
|  |
| **Outcome Indicator:** Planning, coordination, implementation, and evaluation of REDD+ actions were, where relevant, undertaken with the participation of indigenous peoples and/or local communities, or equivalent, including if applicable through FPIC, in accordance with relevant international and/or domestic and if applicable, subnational, legal framework, and in accordance to according their respective rights and decision-making structures and procedures.  Describe how this indicator is met. |
|  |

|  |
| --- |
| **CANCUN SAFEGUARD e** |
| **THEME E.1** Non-conversion of natural forests |
| **Structural Indicator:** Relevant ratified international conventions, agreements, and/or domestic legal framework consistently define the term natural forests, distinguishing them from plantations and spatial distribution of natural forests is mapped.  Describe how this indicator is met. |
|  |
| **Process Indicator:** Public institutions have made use of mandates, procedures, and resources to implement relevant ratified international conventions, agreements, and/or domestic and if applicable, subnational, legal framework to ensure the design and implementation of REDD+ actions avoids the conversion of natural forests.  Describe how this indicator is met. |
|  |
| **Outcome Indicator:** REDD+ actions did not result in the conversion of natural forests to plantations or other land uses.  Describe how this indicator is met. |
|  |
| **THEME E.2** Protect natural forests, biological diversity, and ecosystem services |
| **Structural Indicator:** Relevant ratified international conventions, agreements, and/or domestic legal framework identifies priorities for the protection and conservation of natural forest areas, biodiversity, and ecosystem services, to which REDD+ actions could contribute.  Describe how this indicator is met. |
|  |
| **Process Indicator:** Public institutions have made use of mandates, procedures, and resources to implement relevant ratified international conventions, agreements, and/or domestic legal framework to ensure REDD+ actions are designed and implemented with a view to avoiding adverse impacts on natural forest areas, biodiversity, and ecosystem services potentially affected.  Describe how this indicator is met. |
|  |
| **Outcome Indicator:** REDD+ actions promote the protection and maintenance of natural forest areas, biodiversity and ecosystem services.  Describe how this indicator is met. |
|  |
| THEME E.3 Enhancement of social and environmental benefits |
| **Structural Indicator:** Relevant ratified international conventions, agreements, and/or domestic legal framework regulate the assessment of social and environmental benefits of interventions in those sectors implicated for REDD+ actions.  Describe how this indicator is met. |
|  |
| **Process Indicator:** Public institutions have made use of mandates, procedures, and resources to implement relevant ratified international conventions, agreements, and/or domestic and if applicable, subnational, legal framework to ensure social and environmental benefits are identified and integrated into the design and implementation of REDD+ actions.  Describe how this indicator is met. |
|  |
| **Outcome Indicator:** REDD+ actions have contributed to delivering social and environmental benefits.  Describe how this indicator is met. |
|  |

|  |
| --- |
| **CANCUN SAFEGUARD F** |
| **THEME F.1** The risk of reversals is integrated in the design, prioritization, implementation, and periodic assessments of REDD+ polices and measures.[[2]](#footnote-2) |
| **Process Indicator:** Public institutions have identified and integrated measures to address the risk of reversals in the design, prioritization, implementation, and periodic assessments of REDD+ actions.  Describe how this indicator is met. |
|  |
| No structure or outcome indicators have been developed for Safeguard F as these issues are broadly addressed by requirements in other sections of the Standard. |

|  |
| --- |
| **CANCUN SAFEGUARD G** |
| **THEME G.1** The risk of displacement of emissions is integrated in the design, prioritization, implementation, and periodic assessments of REDD+ policies and measures. |
| **Process Indicator:** Public institutions have identified and integrated measures to address the risk of displacement of emissions in the design, prioritization, implementation, and periodic assessments of REDD+ actions.  Describe how this indicator is met. |
|  |
| No structure or outcome indicators have been developed for Safeguard G as these issues are broadly addressed by requirements in other sections of the Standard. |

#### Participation in other programs

*Disclose any existing REDD+ programs or projects under which some or all of the accounting area may generate credits or payment for performance during the crediting period.*

|  |
| --- |
|  |

#### Double Counting

*Provide a description of the plan and procedures to ensure double counting is avoided per Section 13, TREES Standard.*

|  |
| --- |
|  |

#### Crediting Level calculation for the crediting period

*Include a detailed description of the calculated crediting level value including but not limited to:*

* *Stratification map, description, rules*
* *Description of included pools and gases, and/or justifications for exclusions where applicable*
* *Data sources, if from literature or defaults*
* *Description of emission factors derived*
* *Uncertainty calculations*
* *Calculation (description and supporting workbook)*

|  |
| --- |
|  |

#### Monitoring Plan

*Provide the program’s monitoring plan in accordance with the requirements of TREES Section 6, including, but not limited to:*

* *Standard Operating Procedures (SOPs) for data collection (e.g., field-based, remote- sensing, QA/QC, training of staff, and other),*
* *Procedure for updating the stratification map*
* *Data storage and sharing plan*

|  |
| --- |
|  |

#### Reversals

*Identify the anticipated buffer pool contribution by applying the buffer contribution assessment tool. Provide evidence for mitigation factors claimed.*

|  |
| --- |
|  |

#### leakage

*Evaluate the appropriate leakage deduction based on the use of the leakage assessment tool.*

|  |
| --- |
|  |

#### variances

*Summarize any variances from TREES that have been approved by the ART Secretariat and used by the TREES Participant.*

|  |
| --- |
|  |

#### Tagging

*If HFLD tagging of verified emission reductions is desired, provide evidence that the Participant, or the Participant’s country, meets the HFLD criteria outlined in TREES for the historical reference period.*

|  |
| --- |
|  |

#### Emission Reductions

*Describe the process that will be used to quantify emission reductions, including as appropriate workbooks, formulas, and responsible parties.*

|  |
| --- |
|  |

#### REDD+ Implementation plan

*Provide a Description of the country’s REDD+ implementation plan strategy, including a description of how the REDD+ activities contribute to the country’s sustainable development goals. If a country does not have stated sustainable development goals, the UN SDGs can be used.*

|  |
| --- |
|  |

#### Changes

*Please identify any major changes since the submission of the last TREES Document (TREES Concept or last TREES Registration Document) including changes to Participant or partners, accounting area, and emission reduction rights agreements or plans to achieve rights.*

|  |
| --- |
|  |

1. If the institutions consulted are not considered representative by the people they claim to represent, the consultation may have no legitimacy. “If an appropriate consultation process is not developed with the indigenous and tribal institutions or organizations that are truly representative of the communities affected, the resulting consultations will not comply with the requirements of the Convention” (ILO Governing Body, 282nd session, 2001, GB.282/14/2). [↑](#footnote-ref-1)
2. In accordance and/or complementarity to technical measures and procedures to address reversals included in Section 7 of the Standard. [↑](#footnote-ref-2)